

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kazuyoshi FURUSAWA et al. :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed September 24, 2003 : **Attorney Docket No. 2003-1358A**
FENTANYL COMPOUND-CONTAINING
EDIBLE PATCH TO BE
APPLIED TO ORAL MUCOSA :

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and [X] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☒ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested

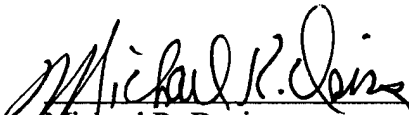
The Japanese application (2001-74869) corresponding to the present U.S. application was filed March 15, 2001, as indicated in the Declaration and Power of Attorney form attached to the present application. This Japanese application was published September

25, 2002 as JP 2002-275066, which is included among the references cited on the attached PTO-1449 form.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at pages 1-5 (Japanese language application),
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kazuyoshi FURUSAWA et al.

By: 
Michael R. Davis
Registration No. 25,134
Attorney for Applicants

MRD/pth
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
September 24, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: September 24, 2003

ATTY DOCKET NO.
2003-1358ASERIAL NO.
NEWAPPLICANT
Kazuyoshi FURUSAWA et al.FILING DATE
September 24, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,159,498	12/2000	Tapolsky et al.			
	AB	5,800,832	9/1998	Tapolsky et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AC	2002-275066	9/2002	Japan				
	AD	10-45570	2/1998	Japan				
	AE	2000-44476	2/2000	Japan				
	AF	6-6534	1/1994	Japan				
	AG	7-8785	2/1995	Japan				
	AH	7-206679	8/1995	Japan				
	AI	2857723	12/1998	Japan				
	AJ	4-46592	7/1992	Japan				
	AK	8-18976	2/1996	Japan				
	AL	2849937	11/1998	Japan				
	AM	6-305953	11/1994	Japan				
	AN	9-286891	11/1997	Japan				
	AO	5-500058	1/1993	Japan				
	AP	5-500176	1/1993	Japan				
	AQ	5-503917	6/1993	Japan				
	AR	4-4296	1/1992	Japan				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AS	
--	----	--

EXAMINER

DATE CONSIDERED

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: September 24, 2003

ATTY DOCKET NO.
2003-1358ASERIAL NO.
NEWAPPLICANT
Kazuyoshi FURUSAWA et al.FILING DATE
September 24, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AB	3-246220	11/1991	Japan				
	AC	4-266819	9/1992	Japan				
	AD	58-128314	7/1983	Japan				
	AE	9-235220	9/1997	Japan				
	AF	9-194395	7/1997	Japan				
	AG	2-60644	12/1990	Japan				
	AH	5-41602	6/1993	Japan				
	AI	2001-508037	6/2001	Japan				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AJ	
--	----	--

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy this form with next communication to applicant.